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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: MUELLER et al.

Docket No.: SCHO0050

Serial No.09/786,294

Art Unit: 2858

Filed: 10/19/2001

Examiner: Unknown

Title: METHOD AND APPARATUS FOR DETERMINING CHARACTERISTICS OF A

SAMPLE LIQUID INCLUDING A PLURALITY OF SUBSTANCES

**AUGUST 26, 2004** 

#### TRANSMITTAL FORM

Commissioner of Patents Mail Stop IDS P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The following documents are being transmitted:

- IDS Transmittal (1 sheet);
- Information Disclosure Statement, (2pgs) 1449 form (2 pgs) cited references
- Return Postcard

Applicant believes that there is no fee due with this submission. However, the Commissioner is authorized to charge any additional fees that may be due and credit any overpayments to Deposit Account No. 07-1445 (Order No. SCHO0050). A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Michael A. Glenn Reg. No. 30,176

Customer No. 22862

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In se Application of: Mueller, et al.

Docket No.: SCHO0050

**Serial No. : 09/786,294** 

Art Unit: 2858

Filed: October 19, 2001

Examiner: Unknown

Title: METHOD AND APPARATUS FOR DETERMINING CHARACTERISTICS OF A

SAMPLE LIQUID INCLUDING A PLURALITY OF SUBSTANCES

Assistant Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 August 26, 2004

## INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

| (   | (within                    | 37 CFR 1.97(b), or three months of filing national application; or date of entry of international application; or before date of first office action on the merits; whichever occurs last)  |
|-----|----------------------------|---|
|     | ( )                        | 37 CFR 1.97(c) together with either a: Certification under 37 CFR 1.97(e), or a \$220.00 fee under 37 CFR 1.17(p), or (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)   |
| ( ) | under<br>( )<br>( )<br>( ) | 37 CFR 1.97(d) together with a: Certification under 37 CFR 1.97(e), and a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1) (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee) |

- (X) The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. SCHO0050). A copy of this sheet is enclosed for accounting purposes.
- (X) Applicant(s) submit herewith Form PTO 1449 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,

Michael Glenn Attorney For Applicant Reg. No. 30,176

Customer No. 22862

PTO/SB/08A (02-03)

Approved for use through 04/30/2003. OMB 0651-0031
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Sheet 1

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 2

| Complete if Known      |                |  |  |
|------------------------|----------------|--|--|
| Application Number     | 09/786,294     |  |  |
| Filing Date            | 10/19/2001     |  |  |
| First Named Inventor   | Mueller et al. |  |  |
| Art Unit               | 2858           |  |  |
| Examiner Name          | Unknown        |  |  |
| Attorney Docket Number | SCHO0050       |  |  |

|                    |                          |  |                                | T DOCUMENTS  |   |
|--------------------|--------------------------|--|--------------------------------|--|---|
| Examiner Initials* | Cite<br>No. <sup>1</sup> | Document Number  Number-Kind Code <sup>2 (# known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                    | Α                        | <sup>US-</sup> 5,239,258                                 | 8/24/93                        | Kauffman   |   |
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|                       |              | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | MM-DD-YYYY       |   | Or Relevant Figures Appear                        | T⁵ |
|                       | В            | FR 2 656 424 - A1   | 6/28/91          | Buvet et al.                                    |   |    |
|                       | С            | EP 0 463 796 A1   | 1/02/92          | Kauffman, R.                                    |   |    |
|                       | D            | WO 99/13325   | 3/18/99          | Winquist et al.                                 |   |    |
|                       |              |   |                  |   |   |    |
|                       |              |   |                  |   |   |    |
|                       |              |   |                  |   |   |    |

| Examiner  | Date       |  |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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AUG 2 6 2004 Complete if Known Substitute fo 1449/PTO **Application Number** 09/786.294 **INFORMATION DISCLOSURE** Filing Date 3/19/2001 STATEMENT BY APPLICANT **First Named Inventor** Mueller et al. Art Unit 2858 (Use as many sheets as necessary) Examiner Name Unknown Attorney Docket Number Sheet SCHO0050 2 of

|                    |                          | OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS  |  |
|--------------------|--------------------------|--|--|
| Examiner Initials* | Cite<br>No. <sup>1</sup> |  |  |
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|                    |                          |  |  |
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|                    |                          |  |  |

| Examiner  | Date       |  |
|-----------|------------|--|
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<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.